

WEBSITE DISCLOSURE DOCUMENT

CUTHMAN CAPITAL PROPRIETARY LIMITED

(Registration No: 2014/128217/07)

FSP NUMBER 51205

AUTHORISED FINANCIAL SERVICES PROVIDER

Cuthman Capital (Pty) Ltd (“the FSP”) is an authorised financial services provider in terms the Financial Advisory and Intermediary Services Act (“FAIS”) and is regulated by the Financial Sector Conduct Authority (“the FSCA”).

A copy of the FSP license certificate is available upon request.

The business particulars of the FSP is listed below:

REGISTRATION NUMBER:	2014/128217/07
FSP NUMBER:	51205
PHYSICAL ADDRESS (JOHANNESBURG):	<p>Sandton Office: Cuthman Capital (Pty) Ltd Future Space 61 Katherine St Sandhurst Sandton 2196</p>
PHYSICAL ADDRESS (CAPE TOWN):	<p>Cape Town Office: Cuthman Capital (Pty) Ltd Cube Workspace Office 19, The Pavilion, V&A Waterfront</p>

	Cape Town 8001
POSTAL ADDRESS:	Cuthman Capital Unit 1, The Chesterfields No 4 Chesterfield Road Bryanston Gauteng 2191
CONTACT PERSON:	Thandi Wille / Justin Klassen
TELEPHONE NUMBER:	+27211403529
E-MAIL:	info@cuthman.com
WEBSITE:	https://cuthman.com/

FINANCIAL SERVICES AND PRODUCTS

The FSCA has duly authorised the FSP to render financial services in respect of the following categories and financial products:

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER
CATEGORY I		
Shares	X	X
Money market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates and other instruments	X	X
Bonds	X	X
Derivative instruments	X	X
Participatory interests in a collective investment scheme	X	X
Securities and Instruments	X	X
Participatory interest in a hedge fund	X	X
CATEGORY II - DISCRETIONARY FSP		
Shares		X
Money market instruments		X
Debentures and securitised debt		X
Warrants, certificates and other instruments		X
Bonds		X

Derivative instruments	X
Participatory interests in one or more collective investment schemes	X
Long-term Deposits	X
Short-term Deposits	X
Structured Deposits	X
Securities and instruments	X
Participatory interest in a hedge fund	X
CATEGORY IIA – HEDGE FUND	
Shares	X
Money market instruments	X
Debentures and securitised debt	X
Warrants, certificates and other instruments	X
Bonds	X
Derivative instruments	X
Participatory interests in one or more collective investment schemes	X
Long-term Deposits	X
Short-term Deposits	X
Structured Deposits	X
Securities and instruments	X
Participatory interest in a hedge fund	X
General Category IIA experience	X

AUTHORISED KEY INDIVIDUAL AND REPRESENTATIVE(S)

The FSCA has duly authorised the following key individuals to manage and oversee the following classes of business on behalf of the FSP:

FULL NAME	CATEGORY OF LICENCE	CLASS OF BUSINESS
Tobie Lochner	I, II and IIA	Short-Term and Long-Term Deposits
		Structured Deposits
		Investments
Tertius Pelser	I, II and IIA	Short-Term and Long-Term Deposits
		Structured Deposits
		Investments

The FSP has duly appointed the following representatives to render financial services on behalf of the FSP. The FSP accepts responsibility for the activities performed by these representatives within the scope and ambit of their appointment:

1. Justin Klassen

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Participatory interests in a collective investment scheme	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Participatory interests in one or more collective investment schemes		X	
Participatory interest in a hedge fund		X	
CATEGORY IIA – HEDGE FUND			
Participatory interests in one or more collective investment schemes		X	
Participatory interest in a hedge fund		X	

2. Peter Wille

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Shares	X	X	
Money market instruments	X	X	
Debentures and securitised debt	X	X	
Warrants, certificates and other instruments	X	X	
Bonds	X	X	
Derivative instruments	X	X	
Participatory interests in a collective investment scheme	X	X	
Securities and Instruments	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	

CATEGORY IIA – HEDGE FUND		
Shares	X	
Money market instruments	X	
Debentures and securitised debt	X	
Warrants, certificates and other instruments	X	
Bonds	X	
Derivative instruments	X	
Participatory interests in one or more collective investment schemes	X	
Long-term Deposits	X	
Short-term Deposits	X	
Structured Deposits	X	
Securities and instruments	X	
Participatory interest in a hedge fund	X	
General Category IIA experience	X	

3. Tertius Pelser

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Shares	X	X	
Money market instruments	X	X	
Debentures and securitised debt	X	X	
Warrants, certificates and other instruments	X	X	
Bonds	X	X	
Derivative instruments	X	X	
Participatory interests in a collective investment scheme	X	X	
Securities and Instruments	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	

CATEGORY IIA – HEDGE FUND		
Shares	X	
Money market instruments	X	
Debentures and securitised debt	X	
Warrants, certificates and other instruments	X	
Bonds	X	
Derivative instruments	X	
Participatory interests in one or more collective investment schemes	X	
Long-term Deposits	X	
Short-term Deposits	X	
Structured Deposits	X	
Securities and instruments	X	
Participatory interest in a hedge fund	X	
General Category IIA experience	X	

4. Thandi Wille

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Participatory interests in a collective investment scheme	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Participatory interests in one or more collective investment schemes		X	
Participatory interest in a hedge fund		X	
CATEGORY IIA – HEDGE FUND			
Participatory interests in one or more collective investment schemes		X	
Participatory interest in a hedge fund		X	

5. Ivan Basson

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Shares	X	X	
Money market instruments	X	X	
Debentures and securitised debt	X	X	
Warrants, certificates and other instruments	X	X	
Bonds	X	X	
Derivative instruments	X	X	
Participatory interests in a collective investment scheme	X	X	

Securities and Instruments	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	
CATEGORY IIA – HEDGE FUND			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	
General Category IIA experience		X	

6. Joshua Stevens

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Shares	X	X	
Money market instruments	X	X	
Debentures and securitised debt	X	X	
Warrants, certificates and other instruments	X	X	
Bonds	X	X	
Derivative instruments	X	X	
Participatory interests in a collective investment scheme	X	X	

Securities and Instruments	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	
CATEGORY IIA – HEDGE FUND			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	
General Category IIA experience		X	

7. Tobie Lochner

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER	SERVICES UNDER SUPERVISION
CATEGORY I			
Shares	X	X	
Money market instruments	X	X	
Debentures and securitised debt	X	X	
Warrants, certificates and other instruments	X	X	
Bonds	X	X	
Derivative instruments	X	X	

Participatory interests in a collective investment scheme	X	X	
Securities and Instruments	X	X	
Participatory interest in a hedge fund	X	X	
CATEGORY II - DISCRETIONARY FSP			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	
CATEGORY IIA – HEDGE FUND			
Shares		X	
Money market instruments		X	
Debentures and securitised debt		X	
Warrants, certificates and other instruments		X	
Bonds		X	
Derivative instruments		X	
Participatory interests in one or more collective investment schemes		X	
Long-term Deposits		X	
Short-term Deposits		X	
Structured Deposits		X	
Securities and instruments		X	
Participatory interest in a hedge fund		X	
General Category IIA experience		X	

DETAILS OF PRODUCT SUPPLIER(S)

The FSP does not make use of any product supplier(s), neither endorse nor market the products of any product supplier(s).

CONFLICT OF INTEREST MANAGEMENT POLICY

The FSP has adopted and implemented a conflict of interest management policy. The conflict of interest management policy can be obtained by writing to info@cuthman.com.

PROFESSIONAL INDEMNITY COVER

The FSP maintains in force a suitable indemnity cover in line with the requirements for an FSP that does not hold clients' financial products or funds on behalf of a client.

COMPLIANCE OFFICER

EXTERNAL COMPLIANCE PROVIDER	INDEPENDENT COMPLIANCE SERVICES (PTY) LTD
PRACTICE NUMBER:	1258
PHYSICAL ADDRESS:	OFFICE 9, HERITAGE SQUARE, CNR GLADSTONE & VREDE STREETS, DURBANVILLE, 7551.
CONTACT PERSON:	JAMES SMALBERGER
TELEPHONE NUMBER:	021 975 6597
E-MAIL:	JAMES@COMPLIANCESERVICES.CO.ZA
WEBSITE:	WWW.COMPLIANCESERVICES.CO.ZA

COMPLAINTS

Should you wish to pursue a complaint against the key individual or any representative of the FSP, the written complaint should be addressed to the following person and contain sufficient detail in respect of the complaint:

CONTACT PERSON:	Thandi Wille
E-MAIL:	Complaints@cuthman.com

If the complaint cannot be settled satisfactorily with the FSP, the complaint may then be referred to the office of the FAIS Ombud. The Ombud was created to provide members of the public with a further redress mechanism.

TELEPHONE NUMBER:	086 066 3247
E-MAIL:	INFO@FAISOMBUD.CO.ZA
WEBSITE:	WWW.FAISOMBUD.CO.ZA

FINANCIAL INTELLIGENCE CENTRE ACT ("FICA")

In terms of FICA the FSP is registered as an accountable institution.

The FSP follows a risk-based approach to money laundering and related activities. By following this approach the FSP is required to identify all prospective clients and verify their given information, as well as keep records in respect thereof.

Application of a risk-based approach implies that the FSP can accurately assess the risks involved with a business relationship and to apply the appropriate methods and levels of client verification.

The FSP must and will report any suspicious and unusual transactions that may facilitate money laundering to the relevant authorities.

DISCLAIMER

Investors should take cognisance of the fact that there are risks involved when buying, selling or investing in any financial product. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions.

Past returns may not be indicative of future returns and an investor should seek independent professional financial, legal and tax advice relevant to their individual circumstances before making any investment decision.

Investors should also take cognisance of the fact that Hedge Funds are collective investment schemes to which the prescribed provisions of the Collective Investment Schemes Control Act, 45 of 2002 apply.